

NDT FORMS CHART FOR *CONTROL GROUP EMPLOYERS*
FORMS TO BE COMPLETED FOR NONDISCRIMINATION TESTING

Form Name	Form Number	Employers Who Do Not Want GuideStone Assistance	Employers Who Elect Three-Year Testing Cycle AND No Tests To Be Performed	Employers with No HCEs Benefiting Under the Plan	Employers with HCEs Benefiting Under the Plan
Notification Form For Retirement Plan Nondiscrimination Testing	1		X		X
Certification Of Compliance With IRC Section 403(b)(12) Nondiscrimination Requirements	12	X		X	
Worksheet For Determining HCEs Under the Statutory HCE Definition (For Control Groups)	2B				X
General Information Questionnaire For Control Groups	7B				X
Employee Census Form For Control Groups	8B				X
Authorization And Forms Summary For Employers	10				X
Certification Form Regarding Compliance Under the Three-Year Testing Cycle Guideline	13		X		X